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Uptycs for Financial Services: Securing the Cloud and Meeting DORA Compliance

Trusted by Leading Financial Institutions

With the Digital Operational Resilience Act (DORA) taking effect in January 2025, financial institutions face increasing pressure to ensure the security, resilience, and compliance of their ICT infrastructures. Uptycs offers a comprehensive, unified Cloud-Native Application Protection Platform (CNAPP) that not only enhances security from development to runtime but also aligns seamlessly with DORA's stringent requirements. This enables financial organizations to achieve compliance and ensure operational resilience.

Key Uptycs Offerings for Financial Services

Cloud Security Posture Management (CSPM)
DORA mandates comprehensive ICT risk management, and Uptycs helps financial institutions achieve this through full visibility across all cloud resources. By detecting misconfigurations, vulnerabilities, and security gaps, Uptycs ensures your cloud infrastructure is secure and compliant. Built-in frameworks for PCI-DSS, GDPR, and now DORA, allow Uptycs to automate security and compliance across hybrid environments.

Cloud Workload Protection Platform (CWPP)
Uptycs provides real-time monitoring and runtime security across multi-cloud environments, ensuring workloads are protected. Our platform meets DORA's requirements for ICT risk and operational resilience testing by identifying and mitigating vulnerabilities before they escalate into threats.

Incident Reporting & Response
To comply with DORA's strict incident reporting and management requirements, Uptycs offers real-time telemetry, automated alerts, and detailed forensic analysis. These capabilities enable financial institutions to meet DORA's fast incident notification timelines, ensuring rapid detection and reporting to regulators and stakeholders.

Third-Party Risk Management
DORA extends compliance requirements to third-party ICT providers. Uptycs' third-party risk management solution offers robust identity and access controls, monitoring third-party access to ensure no new risks are introduced into your environment. Our software asset catalog and tracking of end-of-life (EOL) licenses provide full visibility into third-party risks, which is essential for meeting DORA's standards.

DORA Compliance: Ensuring Resilience and Security

The Digital Operational Resilience Act (DORA) is designed to harmonize and strengthen operational resilience across the EU's financial services sector. It imposes rigorous requirements on ICT risk management, operational resilience testing, incident reporting, and the governance of third-party service providers. Uptycs enables financial institutions to:

- **Proactively Manage ICT Risks:** By providing continuous monitoring, vulnerability scanning, and real-time threat detection across both cloud and on-premises environments.

- **Enhance Operational Resilience:** Uptycs supports automated security testing and threat-led penetration tests, helping organizations align with DORA's mandates.

- **Continuous Monitoring:** Uptycs provides continuous threat visibility due to third-party or internal risks.

- **Automate Compliance and Reporting:** Pre-configured compliance templates for DORA and other key financial regulations reduce audit preparation time, ensuring that financial institutions meet their obligations.

Risk Management Approach

Uptycs' risk-centric approach prioritizes vulnerabilities based on real-time insights and context. Our system identifies which vulnerabilities are actively being exploited, ensuring resources are allocated where they matter most. This precision is key to meeting DORA's risk management standards, while maintaining the efficiency financial institutions need.

Third-Party Risk Visibility

Our third-party risk management capabilities provide complete visibility into who can access your environment. Uptycs tracks software licenses, including those reaching EOL, and offers a real-time inventory of third-party user access. This comprehensive approach helps financial institutions mitigate third-party risks, a critical requirement under DORA.

Incident Response Capabilities

Uptycs provides robust incident response capabilities to meet DORA's requirements. With real-time alerting and deep forensic analysis, institutions can detect and neutralize threats quickly. These features support swift regulatory notifications, reducing potential financial and reputational damage from breaches.

Success Stories

FINRA | Ensuring Compliance and Operational Continuity

"With Uptycs, we meet complex regulatory requirements like PCI-DSS and now DORA, while maintaining high operational efficiency."

PayPal | Securing Critical Cloud Infrastructure

"Uptycs helps us continuously monitor cloud workloads, ensuring both security and compliance with global regulations, including DORA."

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Uptycs: Your Partner for DORA Compliance and Beyond
As the financial services industry prepares for DORA's enforcement in 2025, Uptycs offers a holistic solution to ensure cloud security, compliance automation, and operational resilience. From real-time telemetry to proactive incident management, Uptycs is your trusted partner in meeting DORA's rigorous requirements.

See Uptycs in action